

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. Patent Application of )  
SATO et al. )  
Application Number: To be Assigned )  
Filed: Concurrently Herewith )  
For: WAVELENGTH TUNABLE DBR LASER DIODE )  
ATTORNEY DOCKET NO. NITT.0176 )  
Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified, submitted herewith.

This Information Disclosure Statement is submitted with the initial filing of the application. Accordingly, no fee is due or payable at this time.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Please charge any additional fees or credit any overpayments in connection with this paper to Deposit Account No. 08-1480.

Respectfully submitted,

\_\_\_\_\_  
Stanley P. Fisher  
Registration Number 24,344

  
\_\_\_\_\_  
Juan Carlos A. Marquez  
Registration Number 34,072

**REED SMITH LLP**  
3110 Fairview Park Drive  
Suite 1400  
Falls Church, Virginia 22042  
(703) 641-4200

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Form PTO 1449  U.S. Department of Commerce Patent and Trademark Office  Information Disclosure Statement by Applicant	ATTY. DOCKET NUMBER <b>NITT.0176</b>	SERIAL NUMBER <b>To be Assigned</b>
	APPLICANT <b>SATO et al.</b>	
	FILING DATE <b>Concurrently Herewith</b>	GROUP

**U.S. Patent Documents**

Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLA SS	SUBC CLASS	FILING DATE

**Foreign Patent Documents**

Examiner Initial		DOCUMENT NUMBER	FILING DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
		JP 5-55689	8/23/91	Japan			Abstract	X
		JP 8-139413	11/8/94	Japan			Abstract	X

**Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)**

		H. Hatakeyama et al, "Simultaneously Fabricated Wavelength-Selectable Microarray Light Sources for Covering the Entire S- C- and L- Bands", 2001 Electronics Society Meeting of Electronic Information Communication Society No. c-4-3, p. 241
		Hiroyasu Mawatari et al, "Lasing Wavelength Changes Due to Degradation in Buried Heterostructure Distributed Bragg Reflector Lasers", Journal of Lightwave Technology, Vol. 17, No. 5, May 1999, pp. 918-923
		Shinji Sakano et al. "Wavelength-Tunable Three-Electrode DBR Laser with a Thin-Active Layer in Tuning Regions", IEEE Photonics Technology Letters, Vol. 3, No. 10, October 1991, pp. 866-868
EXAMINER		DATE CONSIDERED
<i>EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant</i>		